



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

08/878,356 06/18/97 PUMA

A

0292/1114

HARVEY LUNENFELD  
EIGHT PATRICIAN DRIVE  
EAST NORTHPORT NY 11731

NOT ASSIGNED

3304

DATE MAILED:

11/14/97

**NOTICE TO FILE MISSING PARTS OF APPLICATION FILED UNDER 37 CFR 1.60**

**No Filing Date**

(Enclosure to Form PTO-1534)

Required items 1-4 below SHOULD be filed, if possible, with any items required on the enclosed "Notice of Improper Application." If concurrent filing of all required items is not possible, the items listed below must be filed no later than TWO MONTHS from the filing date accorded this application. The filing date will be the date of receipt of the items required on the "Notice of Improper Application." If items 1 and 3 below are submitted after the filing date, THE PAYMENT OF A SURCHARGE OF \$ \_\_\_\_\_ for a ☐ large entity ☐ small entity (in compliance with 37 CFR 1.27) is required (37 CFR 1.16(e)).

Applicant must file all the required items indicated below within TWO MONTHS from any filing date granted to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items noted on this form and on the "Notice of Improper Application" are filed together, the total amount owed by applicant as a ☐ large entity ☒ small entity (verified statement filed) is \$ 395.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 395 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any required multiple dependent claim fees, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

☒ 3. The application was filed under 37 CFR 1.60. The copy of the executed oath or declaration from the prior application is missing.  
A copy of the executed oath or declaration filed in the prior complete application is required.

☐ 4. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 5. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

*Dr. Smith*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

08/878,356 06/18/97 PUMA

A

0292/1114

HARVEY LUNENFELD  
EIGHT PATRICIAN DRIVE  
EAST NORTHPORT NY 11731

NOT ASSIGNED

3304

DATE MAILED:

11/14/97

**NOTICE OF IMPROPER APPLICATION FILED UNDER 37 CFR 1.60**

A filing date has NOT been assigned to the above-identified application since 37 CFR 1.60 has not been complied with for the reason(s) indicated below.

All of the items noted below, unless otherwise indicated, must be submitted within TWO MONTHS FROM THE DATE OF THIS NOTICE, or the application may be returned or otherwise disposed of. Any fee which has been submitted will be refunded less a \$130.00 handling fee (37 CFR 1.60(c)).

The filing date will be the date of receipt of the items required below unless otherwise indicated. Any assertions that the items required below were submitted, or explaining the delay in supplying the omitted items, must be by way of petition directed to the attention of the Office of the Assistant Commissioner for Patents. Any such petition must be accompanied by the \$130.00 petition fee (37 CFR 1.17(i)). If the petition states that the application is complete, a request for refund of the petition fee may be included in the petition.

- ☐ 1. A copy of the specification (description and claims) filed in the parent application:
- ☐ is missing.
  - ☐ has page(s) \_\_\_\_\_ missing.
  - ☐ has the description of the invention missing.
  - ☐ has claim(s) \_\_\_\_\_ missing.
- ☐ 2. A copy of the drawings as filed in the parent application is missing.
- ☐ 3. A copy of any amendments referred to in the oath or declaration filed to complete the parent application is missing.
- ☐ 4. A statement that the application papers filed are a true copy of the prior application and that no amendments referred to in the oath or declaration filed in the prior application introduced new matter is missing. Such statement must be made by the applicant or applicant's attorney or agent and must be a verified statement if made by a person not registered to practice before the United States Patent and Trademark Office.

☒ 5. OTHER: *Under 37 CFR 1.60 you cannot file a Cont. In Part, you must submit a petition to convert.*  
Direct the response and any questions about this notice to "Attention: Box Missing Parts."

Enclosed:

- ☒ "Notice to File Missing Parts of Application Filed Under 37 CFR 1.60" Form PTO-1608.
- ☐ "Checklist for Patent Applications."
- ☐ Other:

**A copy of this notice MUST be returned with the response.**

*M. Smith*  
Customer Service Office  
Initial Patent Examination Division (703) 308-1202